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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/855,254	05/15/2001	Michieal L. Jones	QVIS-01057US1 SRM

CONFIRMATION NO. 8978

FORMALITIES LETTER



OC000000006296709

Sheldon R. Meyer
FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, CA 94111-4156

Date Mailed: 07/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

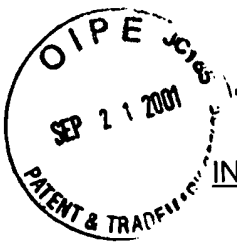
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/24/2001 HTECKLU1 00000039 09855254

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Michieal L. Jones et al.
SC/Serial No.: 09/855,254
Confirm. No.: 8978
Filed: May 15, 2001
Title: WAVEGUIDE BASED LIGHT SOURCE

PATENT APPLICATION

Art Unit: 2874
Examiner: Unknown

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on September 18, 2001.

(Attorney Signature)

Sheldon R. Meyer, Reg. No. 27,660
Signature Date: September 18, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated July 16, 2001, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Declaration for Patent Application
- ☒ Assignment and Assignment Recordation Form Cover Sheet
- ☒ Power of Attorney
- ☐ Statement(s) of small entity status
- ☒ Petition for an Extension of Time pursuant to 37 C.F.R. §1.136(a) for responding to the Notice to File Missing Parts
- ☐ Information Disclosure Statement under 37 C.F.R. §1.56
- ☐ Preliminary Amendment

Patent Application Filing Fee

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The patent application filing fee (if applicable) is calculated as shown below:

No. Filed	No. Extra	Rate Small Entity/ Large Entity	
Basic Fee		\$355.00 \$710.00	= \$
Total Claims <u> </u> ** - 20	= <u> </u> * X	\$ 9.00 \$ 18.00	= \$
Independent Claims <u> </u> ** - 3	= <u> </u> * X	\$ 40.00 \$ 80.00	= \$
First Presentation of Multiple Dependent Claim(s) <u> </u>		\$135.00 \$270.00	= \$
Total PATENT APPLICATION FILING FEES			<u> </u>

* If the difference is less than zero, enter "0".

** Count claims after any amendment.

Total Fee

The Total Fee associated with this communication has been calculated as shown below:

<u> </u>	Patent application filing fee	\$
<u>✓</u>	Net fee for extension of time	\$55.00
<u>✓</u>	Assignment recording fee (\$40.00)	\$40.00
<u>✓</u>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or Declaration:	
<u> </u>	Large Entity \$130.00	\$
<u> </u>	Small Entity \$ 65.00	\$65.00
TOTAL FEE DUE:		<u>\$160.00</u>


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Method of Payment of Fees

- ✓ Two checks in the amount of the TOTAL FEE DUE are enclosed.
- ✓ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: September 18, 2001

By: 
Sheldon R. Meyer
Reg. No. 27,660

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